



Two Park Avenue

tel 1.212.591.8500

New York, NY

fax 1.212.591.8501

10016-5990 U.S.A.

www.asme.org

INFORMATION AND PROCEDURES FOR OBTAINING
N, NA, NPT, NV, N3 & NS
NUCLEAR CERTIFICATES AND CERTIFICATION MARK
CLASS I, 2, 3, MC, CS, CC & TC

The ASME Certification Mark is used to indicate that items stamped comply with the Quality Assurance Requirements of Section III, Subsection NCA, General Requirements for Divisions 1, 2 and 3, as well as the specific requirements of other applicable Subsections. Code Books required are shown on the [Code Book Order Form](#), which can be downloaded from our website. The Applicant is required to meet the Quality Assurance requirements of the current Code. Alternatively, if the Applicant wishes to limit its Quality Assurance Program to the requirements of a Code edition and addenda earlier than the latest published, that Code edition and addenda must be shown in the application (Scope of Work Requested by Applicant) and the Quality Assurance Requirements of that Code edition and addenda must be met.

The requirement for possession of an official Certification Mark and Certificate is for the purpose of safeguarding the use of the Certification Mark when the Applicant certifies that the equipment is built to the requirements of the ASME Code. It is not the intention of ASME to unduly restrict the use of the Certification Mark, but rather to prevent unauthorized use under all circumstances. ASME Certificates and Certification Mark are furnished from this office only.

The Quality Assurance Manual and documentation which support understanding of the program must be in English.

ASME, by the use of Survey Teams, reviews the implementation of the Applicant's Quality Assurance Program. Any findings are discussed between the Team and the Applicant, and a report is submitted to ASME, which either authorizes the issuance of the Certificate(s) or requests additional action by the Applicant.

The Survey Team consists of ASME Consultants, the [Accredited Authorized Inspection Agency](#) and a representative of the Enforcement Authority in Jurisdictions, where applicable.

The "[Guide for ASME Nuclear Survey Teams for Certificate Holders](#)" provides a checklist of the elements of a Quality Control System. The Applicant is required to indicate the corresponding cross references to the provisions in the Quality Control Manual which address these elements. The completed Guide must be provided by the Applicant to the ASME Survey Team.

Surveys for a Quality Assurance Program Certificate, are also available. It recognizes that an organization has an acceptable Quality Assurance program and the capability to staff, equip, and otherwise implement the program. There is no stamp issued with a Quality Assurance Program Certificate until ASME conducts an implementation audit for conversion to a Certificate of Authorization.

Payment of charges for the Survey will be as follows:

1. ASME will submit an invoice to the Applicant for the fees and expenses of the ASME Consultants and the National Board Representative (when the National Board acts on behalf of the Enforcement Authority), administrative charges, the \$3,315.00 three year fee per Certificate and the \$335.00 fee for use of the Certification Mark on initial issuance. Prices are subject to change without notice.
2. The Applicant pays their Accredited Authorized Inspection Agency directly.
3. The Applicant pays their Enforcement Authority directly, if applicable.

Quality Assurance Systems vary in complexity, detail and structure as do an Applicant's size, scope and volume of work. If your facility requires an extended survey, because of its complexity, the ranges could be exceeded. Our Survey Team will make every effort to conclude their visit in a minimum length of time.

ASME requires an advance deposit of \$11,000 for surveys prior to establishment of survey dates. This will be applied to the cost of the survey. If the Applicant withdraws their application after the remittance is received by ASME, there is an administrative fee of \$1,000.00 which will be deducted from the original remittance.

Please submit the application through [CA Connect](#). Please return the completed Accreditation/Certification Agreement and fees to:

ASME
Accreditation/Certification Processing Dept.
150 Clove Road
Little Falls, NJ 07424

Applicants for renewal must also supply the information requested in [Form A2.70](#), Additional Information to be Provided with Application for Renewal Survey. In addition, it is requested that the Accreditation/Certification Agreement and [Form A2.70](#) be emailed to ca@asme.org.

To avoid unnecessary expense and delay, the Applicant should consult with its Accredited Authorized Nuclear Inspection Agency for advice as to the probable acceptability of the Quality Assurance Program. A complete list of [Accredited Organizations holding Authorized Inspection Agency](#) Certificates, and the Code Sections for which they are accredited is shown as a link on the website.

The program demonstration/implementation being presented to the Survey Team must be able to demonstrate/implement all aspects of the Quality Assurance Program which will include:

1. Material Organization activities for all Applicants applying for this additional scope to their N-Type Certificates of Authorization.
2. Material Organization activities for Applicants applying for N-Type Certificates of Accreditation with material organization activities appearing in their Certificate scope.
3. A separate demonstration/implementation item which is representative of a pressure relief device (achievement of capacity certification is not required) for all Applicants applying for multiple Certificates of Authorization with an NV Certificate of Authorization being one of them.
4. Class 3 PE Plastic Piping activities for Applicants applying for N-Type Certificates of Authorization whose scope of activity appearing on their Certificate will permit them to fabricate, assembly, install, manufacture, and/or supply Class 3 PE Plastic Pipe.

Please review the ["Information for Applicants for ASME Certificates of Authorization or Quality System Certificates"](#), which can be found on our website.

Upon receipt of completed application and deposit, and notification that the Applicant is ready for a survey of the Quality Assurance Program and its implementation, a mutually acceptable date for the survey will be arranged between the Applicant and ASME.

The Applicant is responsible for obtaining the concurrence of the Accredited Authorized Inspection Agency and Enforcement Authority, where applicable, for the survey dates.

Cancellation of survey dates is subject to a monetary penalty, deducted from the advance deposit, as follows:

- \$2,000 for cancellation after acceptance of the survey dates.
- \$3,500 for cancellation within 2 months of the survey dates.

\$6,000 for cancellation within 1 month of the survey dates.

In addition to the above, the Applicant will be charged for any committed travel and miscellaneous expenses associated with the cancelled survey.

Note that one complete application set must be submitted for each different plant location and field site. Multiple Certificate and Certification Mark requests at a single location require only one copy of each form.

For Applicants for NV Certificates, a list of [Testing Laboratories](#) Holding ASME Certificates of Acceptance for Conducting Tests of Pressure Relief Devices is shown as a link on the website.

ASME will make every effort to conduct an evaluation of the Applicant's program at the earliest possible date after receipt of the application forms and deposit. However, it should be expected that a lead time of four to six months is usual because of scheduled surveys of other companies. ASME can accept no liability for consequences to the Applicant which may be the result of the survey or subsequent ASME actions. A renewal survey will be scheduled approximately eight to twelve weeks prior to the current expiration date to assure continuity of the Certificates.

Certificate Holders have the option to request an extension to their current Certificate expiration date to complete current work. Such a request for extension to complete work in progress must be received, by ASME, at least ninety days prior to the current expiration date. A check for \$2,000 per Certificate must accompany the request. The request must contain information concerning order entry dates, order numbers, quantity of each order, item descriptions and the completion dates. A copy of the request must be sent to the Enforcement Agency for the location of the work in progress, and your Accredited Authorized Inspection Agency. The Certificate Holder shall also request their Accredited Authorized Inspection Agency to evaluate their present activity and provide a report to ASME. The evaluation shall include the current effectiveness of the management and quality program relative to what was in place and presented to the ASME Survey Team at the last survey. An extension, if granted, will be for six months, with no further extension. The extension will only cover work listed in the request. A Certificate Holder who voluntarily terminates a survey in progress is not eligible for this type of extension of expiration date.

A [brochure](#) listing the various sections of the [Boiler and Pressure Vessel Code and other ASME publications](#) required for obtaining ASME Certificates is available on our website. Subscription to the indicated sections of the ASME Boiler and Pressure Vessel Code is required for certification to assure that Code users have the latest applicable Code rules. Effective with the issuance of the 2007 Edition of the Boiler and Pressure Vessel Code, the electronic version, purchased from a licensed reseller is acceptable, as well as the published version by ASME. The rules published in the Code are subject to revision from time to time. These revisions are published annually in addenda to the BPV Code. The effective date for which the latest published Code edition and addenda become the current Code edition and addenda is six months after the date of publication of each such edition and addenda. The Applicant or Certificate Holder is not precluded from implementing the latest published Code edition and addenda earlier than the effective date. Additionally, interpretations of the rules are published in supplements to the Code. These addenda and supplements are provided to subscribers, as part of their basic subscription, to assure their awareness of the latest rules, revisions and interpretations.

Reproduced documents from any source are not accepted for ASME certification, as they provide no assurance of completeness or that the user will be made aware of revisions and interpretations of the applicable Code sections.

Applicants are advised that registration of data report forms may be required by the legal authority of a state or municipality of the United States or province of Canada. Information regarding such requirements is available from the applicable legal authority or The National Board of Boiler and Pressure Vessel Inspectors, 1055 Crupper Ave., Columbus, OH 43229-1108; telephone (614) 888-8320; fax (614) 847-1147, info@nationalboard.org website www.nationalboard.org. Additionally, a Synopsis of Boiler and Pressure Vessel Laws, Rules and Regulations in the United States and Canada is available from The National Board of Boiler and Pressure Vessel Inspectors.

If you have any questions, please contact the ASME Conformity Assessment Department via email ca@asme.org.