



Two Park Avenue

tel 1.212.591.7575

New York, NY

fax 1.212.591.8599

10016-5990

U.S.A.

www.asme.org

**INFORMATION AND PROCEDURES FOR OBTAINING ASME BOILER AND PRESSURE  
VESSEL CERTIFICATES OF AUTHORIZATION AND CERTIFICATION MARK  
UNDER SECTIONS I, IV, VIII DIV. 1, VIII DIV. 2, VIII DIV. 3, X AND XII**

The ASME Certification Mark is used to indicate that items stamped conform to the requirements of the latest edition of the ASME Boiler and Pressure Vessel Code, Sections I, IV, VIII Div. 1, VIII Div. 2, VIII Div. 3, X or XII, as applicable. The requirement for possession of an official Certification Mark and Certificate is for the purpose of safeguarding the use of the Certification Mark when the Applicant certifies that the equipment is built to the requirements of the ASME Code. It is not the intention of ASME to unduly restrict the use of the Certification Mark, but rather to prevent unauthorized use under all circumstances.

ASME Certificates of Authorization and Certification Mark are furnished from this office only. The Certificates are issued for three years, except that H (Cast Iron and/or Cast Aluminum) and UM Certificates are issued for one year. A UM Certificate and Certification Mark is issued to an Applicant only during the period that a valid U or S Certificate is held.

Review for S, A, PP, E, M, H (other than Cast Iron and/or Cast Aluminum), HLW, U, UM, U2, U3, RP, PRT and T Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the required review deposit and fees, ASME will request from the Applicant's Accredited Authorized Inspection Agency (AIA) verification of an agreement for third party inspection. The review is conducted by an ASME Designee appointed by ASME and a representative of the Applicant's Accredited Authorized Inspection Agency. See attached for the required review deposit and fees. The website contains a list of [Accredited Authorized Inspection Agencies](#) that you may contact.

The PRT Certificate are for Manufacturers of parts who do not perform or assume any design responsibility for the parts they manufacture. The PRT Certificate Holder's quality control system needs to describe how the design documents are received from the purchaser of the part are controlled, and how the part is controlled while in the custody of the parts manufacturer. Note: Currently only Sections I, IV and XII have adopted this Certificate.

H (Cast Iron and/or Cast Aluminum) Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the review deposit and fees, a review will be conducted by an ASME Designee appointed by ASME.

V, HV, UV, UV3, UD, TV and TD Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the Certificate and Certification Mark fees, ASME will notify the ASME Designated Organization, The [National Board of Boiler and Pressure Vessel Inspectors](#), to appoint an ASME Designee to conduct the review.

The "[Guide for ASME Review Teams for Review of Applicants for ASME Certificates of Authorization](#)" provides a checklist of the elements of a Quality Control System. The Applicant is required to indicate the corresponding cross references to the provisions in the Quality Control Manual which address these elements. The completed Guide must be provided by the Applicant to the ASME Review Team.

The shop review will consist of an on-site evaluation of the Applicant's Quality Control System encompassing both a review of the Applicant's written description and a demonstration of implementation of the program after which the Review Team will advise the Applicant of their recommendation to ASME. The Quality Control Manual and documentation which support understanding of the program must be in English.

For new issuance of V, HV, UV, UV3, UD, TV and TD Certificates, it is necessary that all required capacity certification tests be scheduled within ninety days from the date of the review. If the tests are not successfully completed within six months from the date of the review, a new review must be conducted. The website contains a list of [Testing Laboratories](#) Holding ASME Certificates of Acceptance for Conducting Tests of Pressure Relief Devices.

### Additional Information

Following receipt and evaluation of the report, ASME will advise the Applicant that the Certificates and Certification Mark are being issued, or what additional requirements must be met prior to further consideration of the application.

For V, HV, UV, UV3, UD, TV and TD Certificates, a deposit for review is not required to be sent to ASME. The National Board of Boiler and Pressure Vessel Inspectors will advise the Applicant directly concerning the requirement for a deposit. For those Certificates, ASME does, however, require that the Certificate fee, and fee for use of the Certification Mark be submitted to ASME with the application.

Please return the completed Accreditation/Certification Agreement, review deposit and fees (fees only for Pressure Relief Device Certificates) to:

**ASME  
Accreditation/Certification Processing Dept.  
150 Clove Road  
Little Falls, NJ 07424**

In addition, it is requested that the Accreditation/Certification Agreement be emailed to [ca@asme.org](mailto:ca@asme.org).

The Applicant shall pay directly to the National Board of Boiler and Pressure Vessel Inspectors (for V, HV, UV, UV3, UD, TV and TD), and Authorized Inspection Agency such charges for the qualification review as those bodies require. If the Applicant withdraws their application after the remittance is received by ASME, there is an administrative fee of \$1,000.00 which will be deducted from the original remittance.

A [brochure](#) listing the various sections of the [Boiler and Pressure Vessel Code and other ASME publications](#) required for obtaining ASME Certificates has been included in this application package. Subscription to the indicated sections of the ASME Boiler and Pressure Vessel Code is required for certification to assure that Code users have the latest applicable Code rules. Effective with the issuance of the 2007 Edition of the Boiler and Pressure Vessel Code, the electronic version, purchased from a licensed reseller is acceptable, as well as the published version by ASME. The rules published in the Code are subject to revision from time to time. These revisions are published annually in addenda to the Code and become mandatory six months after the date of publication of each such addenda. Additionally, interpretations of the rules are published in supplements to the Code. These addenda and supplements are provided to subscribers, as part of their basic subscription, to assure their awareness of the latest rules, revisions and interpretations. Reproduced documents from any source are not accepted for ASME certification, as they provide no assurance of completeness or that the user will be made aware of revisions and interpretations of the applicable Code sections.

Applicants are advised that registration of data report forms may be required by the legal authority of a state or municipality of the United States or province of Canada. Information regarding such requirements is available from the applicable legal authority or the National Board of Boiler and Pressure Vessel Inspectors, 1055 Crupper Ave., Columbus, OH 43229-1108; telephone (614) 888-8320; fax (614) 847-1147, [info@nationalboard.org](mailto:info@nationalboard.org) website [www.nationalboard.org](http://www.nationalboard.org). Additionally, a Synopsis of Boiler and Pressure Vessel Laws, Rules and Regulations in the United States and Canada is available from the National Board of Boiler and Pressure Vessel Inspectors.

If you have any questions, please contact the ASME Conformity Assessment Department via email [ca@asme.org](mailto:ca@asme.org).

## Fees and Deposits

Prices are subject to change without notice.

### H (Cast Iron and/or Cast Aluminum) Certificates

Upon submittal of the application through [CA Connect](#) and Review deposit of \$11,000.00, plus a Certificate fee of \$1,070.00 and Certification Mark of \$335.00), a review will be conducted by an ASME Designee appointed by ASME.

### V, HV, UV, UV3, UD, TV and TD Certificates

Upon submittal of the application through [CA Connect](#) and receipt of the Certificate fee of \$3,315.00 per Certificate plus \$335.00 for one stamp, ASME will notify the ASME Designated Organization, the National Board of Boiler and Pressure Vessel Inspectors, to appoint an ASME Designee to conduct the review.

---

#### For all Certificates:

##### Certificates

H (Cast Iron and/or Cast Aluminum)	\$1,070.00 per Certificate
All other types	\$3,315.00 per Certificate

##### Stamps (Initial Issuance Only)

(1) Certification Mark*	\$335.00, plus applicable sales tax and shipping
<i>(Additional stamps are \$335.00 each, plus applicable sales tax and shipping)</i>	

ASME requires an advance deposit of \$11,000.00 for the conduct of the review plus the Certificate fees and Stamp fees. The fee for all Certificates, except H (Cast Iron and/or Cast Aluminum) is \$3,315.00 per Certificate. The Certificate fee for H (Cast Iron and/or Cast Aluminum) is \$1,070.00 per Certificate. The fee for the use of each Code Symbol Stamp is \$335.00.

If your application covers Certificates at more than one location, please add an additional \$6,000.00 per each additional location to the review deposit.

ASME will provide a Pro Forma invoice, upon receipt of a completed application at the request of the Applicant. It will include the amount of the deposit necessary in order to schedule the review, as well as all Certificate and Certification Mark fees. Pro Forma invoices are valid for six months from date of issuance. The advanced deposit will be applied to the cost of the review. At the conclusion or termination of the review, an invoice will be rendered. The Applicant is responsible for the fees and expenses incurred by the ASME Designee while en route to and from, and while auditing the Applicant's facility. If a balance is due to ASME, you are required to remit payment within thirty (30) days of receipt of the invoice. **Failure to meet this financial obligation may result in termination of your ASME Certification.** If there is no balance due to ASME, you may be entitled to a refund of the excess of the deposit. Refunds exceeding \$100.00 may be transferred electronically, please provide banking particulars in [CA Connect](#).